**Draft - Application Form for authorisation as a Crypto-Asset Service Provider**

**Regulation (EU) 2023/1114**

|  |
| --- |
| Reference number:  Date:  FROM:  Name of the applicant:  Address:  (Contact details of the designated contact person):  Name:  Telephone:  Email:  TO:  Member State (if applicable):  Competent Authority:  Address:  (Contact details of the designated contact point):  Name:  Telephone:  Email:  Dear [*insert appropriate name*],  In accordance with Article 2 of the Commission Implementing Regulation (EU) XXXX/XXX, laying down implementing technical standards for the application of Regulation (EU) 2023/1114 of the European Parliament and of the Council with regard to standard forms, templates and procedures for the information to be included in the application for the authorisation of crypto-asset service providers, kindly find attached the application for authorisation.  We [applicant] declare that the submitted information is true, accurate, complete and not misleading. Unless specifically stipulated otherwise, the information is up to date on the date of this application.  Information indicating a future date is explicitly identified in the application and we undertake to notify the authority in writing without delay if any such information should turn out to be untrue inaccurate, incomplete or is misleading.  - Person in charge of preparing the application:  Name:  Status/position:  Telephone:  Email:  - Nature of the application (tick the relevant box)  Authorisation  Notification of changes pursuant to Article 4(3) of Implementing Regulation (EU) XXXX/XXXX of [date]  REQUIRED INFORMATION  *General information*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 1 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date*]. Please set out that information here or make reference to the relevant annexes containing the information.  *Programme of operations*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 2 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by setting out that information here or making reference to the relevant sections of the application.  *Prudential requirements*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 3 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by setting out that information here or making reference to the relevant sections of the application.  *Information about governance arrangements and internal control mechanisms*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 4 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Business continuity*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 5 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Detection and prevention of money laundering and terrorist financing*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 6 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Identity and proof of good repute, knowledge, skills, and experience and of sufficient time commitment of the members of the management body*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 7 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Information relating to shareholders or members with qualifying holdings*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 8 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *ICT systems and related security arrangements*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 9 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Segregation of clients’ crypto-assets and funds*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 10 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Complaints-handling*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 11 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Custody and administration policy*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 12 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Operating rules of the trading platform and market abuse detection*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 13 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Exchange of crypto-assets for funds or other crypto-assets*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 14 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Execution policy*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 15 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Provision of advice or portfolio management on crypto-assets*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 16 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  *Transfer services*  ………………………………………………………………………………………………………………………………………  Please insert the information referred to under Article 17 of Commission Delegated Regulation (EU) XXXX/XXXX of [*date -* MiCA RTS on authorisation for CASPs], by set out that information here or making reference to the relevant sections of the application.  Yours sincerely,  [*signature*] |