# Notification to Finanssivalvonta (FIN-FSA) of intention to apply exemption from the obligation to report on intra-group derivative contracts

**Notification in accordance with Article 9(1), fourth subparagraph of Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR, as amended by Regulation (EU) 2019/834) to FIN-FSA of intention to apply the exemption from the obligation to report the details of intra-group derivative contracts to a trade repository.**

## Notifying party

|  |  |
| --- | --- |
| Name of entity |  |
| Address |  |
| Home country (if not Finland) |  |
| LEI (If not available, Finnish Business Identity Code or equivalent foreign identity code) |  |

The notifying party is (please tick all that apply)?

[ ]  the counterparty whose intra-group derivative contracts are covered by the notification

[ ]  the entity that is responsible for the centralised risk evaluation, measurement and control procedures within the group

[ ]  the ultimate parent entity of the group

If the notifying party is not the ultimate parent entity of the group, please provide also the following details of the parent entity

|  |  |
| --- | --- |
| Name of the parent entity |  |
| Home country (if not Finland) |  |
| LEI (If not available, Finnish Business Identity Code or equivalent foreign identity code) |  |

The ultimate parent entity of the group and the entity that is responsible for the centralised risk evaluation, measurement and control procedures within the group may make a single notification on its own behalf and/or on behalf of other Finnish counterparties within the group.

**Please specify in Annex (Excel-sheet provided by FIN-FSA) to the notification on behalf of which Finnish counterparties you make this notification.** A counterparty whose home country is a member state of EU other than Finland shall notify its intention to apply the exemption to the competent authority of its home country.

## Counterparties whose derivative contracts are subject to this notification

This notification concerns derivative contracts between the counterparties specified in Annex to this notification.

## Declarations

I hereby confirm that in accordance with EMIR Article 9(1), third subparagraph, as amended by Regulation (EU) 2019/834,

* both counterparties in the counterparty pair(s) in Annex to the notification are included in the same consolidation on a full basis;
* both counterparties in the counterparty pair(s) in Annex to the notification are subject to appropriate centralised risk evaluation, measurement and control procedures; and
* the parent undertaking is not a financial counterparty.

FIN-FSA may at any time request further information from the notifying party to determine whether these conditions are met.

In addition, I confirm that

* I am authorised to make this notification on behalf of the notifying party and, if applicable, the Finnish counterparties of the group as specified in Annex to this notification
* FIN-FSA will be notified if there is a significant change to the information provided in this notification and Annex
* FIN-FSA will be notified if the conditions for exemption are no longer met

## Signature

|  |  |  |  |
| --- | --- | --- | --- |
| Date |  | Place |  |
| Notifying person |  |
| Position within the entity  |  |
| Phone number |  |
| Email address |  |
| Signature |  |

Please send the notification together with Annex to kirjaamo@finanssivalvonta.fi: