# Notification to Finanssivalvonta (FIN-FSA) of intention to apply exemption from the obligation to report on intra-group derivative contracts

**Notification in accordance with Article 9(1), fourth subparagraph of Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR, as amended by Regulation (EU) 2019/834) to FIN-FSA of intention to apply the exemption from the obligation to report the details of intra-group derivative contracts to a trade repository.**

## Notifying party

|  |  |
| --- | --- |
| Name of entity |  |
| Address |  |
| Home country (if not Finland) |  |
| LEI (If not available, Finnish Business Identity Code or equivalent foreign identity code) |  |

The notifying party is (please tick all that apply)?

the counterparty whose intra-group derivative contracts are covered by the notification

the entity that is responsible for the centralised risk evaluation, measurement and control procedures within the group

the ultimate parent entity of the group

If the notifying party is not the ultimate parent entity of the group, please provide also the following details of the parent entity

|  |  |
| --- | --- |
| Name of the parent entity |  |
| Home country (if not Finland) |  |
| LEI (If not available, Finnish Business Identity Code or equivalent foreign identity code) |  |

The ultimate parent entity of the group and the entity that is responsible for the centralised risk evaluation, measurement and control procedures within the group may make a single notification on its own behalf and/or on behalf of other Finnish counterparties within the group.

**Please specify in Annex (Excel-sheet provided by FIN-FSA) to the notification on behalf of which Finnish counterparties you make this notification.** A counterparty whose home country is a member state of EU other than Finland shall notify its intention to apply the exemption to the competent authority of its home country.

## Counterparties whose derivative contracts are subject to this notification

This notification concerns derivative contracts between the counterparties specified in Annex to this notification.

## Declarations

I hereby confirm that in accordance with EMIR Article 9(1), third subparagraph, as amended by Regulation (EU) 2019/834,

* both counterparties in the counterparty pair(s) in Annex to the notification are included in the same consolidation on a full basis;
* both counterparties in the counterparty pair(s) in Annex to the notification are subject to appropriate centralised risk evaluation, measurement and control procedures; and
* the parent undertaking is not a financial counterparty.

FIN-FSA may at any time request further information from the notifying party to determine whether these conditions are met.

In addition, I confirm that

* I am authorised to make this notification on behalf of the notifying party and, if applicable, the Finnish counterparties of the group as specified in Annex to this notification
* FIN-FSA will be notified if there is a significant change to the information provided in this notification and Annex
* FIN-FSA will be notified if the conditions for exemption are no longer met

## Signature

|  |  |  |  |
| --- | --- | --- | --- |
| Date |  | Place |  |
| Notifying person |  | | |
| Position within the entity |  | | |
| Phone number |  | | |
| Email address |  | | |
| Signature |  | | |

Please send the notification together with Annex to [kirjaamo@finanssivalvonta.fi](mailto:kirjaamo@finanssivalvonta.fi):