

Notifications to FIN-FSA of the application of exemptions from the disclosure obligation

The FIN-FSA has received notifications of application for exemptions from the disclosure obligation from the companies listed below. The exemptions are based on chapter 9, section 8 a of the Securities Markets Act (746/212, as amended) and earlier provisions. The exemptions concern fund management companies, collective investment undertakings and investment firms authorised within the European Economic Area. Parent companies of such companies do not have to sum up their holdings with the shareholdings of mutual funds managed by fund management companies, the shareholdings of collective investment undertakings, or the shareholdings managed by investment firms by virtue of asset management agreements, provided that the shares are managed independently of the parent company.

Parent company	Fund management company, collective investment undertaking or investment firms	Date of notification	Journal Number
LähiTapiola Keskinäinen Vakuutusyhtiö	Seligson & Co Rahastoyhtiö Oyj LähiTapiola Varainhoito Oy	8.10.2020	FIVA 3/02.05.11/2020
Skandinaviska Enskilda Banken Ab (publ), Sweden	SEB Investment Management AB, Sweden IPAS SEB Investment Management, Latvia SEB Varahaldus, Estonia UAB SEB investiciju valdymas, Lithuania	13.8.2019	FIVA 3/02.05.11/2019
Allianz SE, Germany Allianz Asset Management GmbH, Germany	Pimco Deutschland GmbH, Germany Allianz Global Investors GmbH, Germany Tokio Marine Rogge Asset Mgmt Ltd, UK	14.5.2019	FIVA 1/02.05.11/2019
Allianz SE, Germany Allianz Asset Management GmbH, Germany Allianz Asset Management of America Holdings Inc., USA Allianz Asset Management of America LLC, USA Allianz Asset Management of America L.P., USA Pacific Investment Management Company LLC, USA	Pimco Global Advisors (Luxembourg)S.A., Luxembourg	14.5.2019	FIVA 1/02.05.11/2019
Allianz SE, Germany Allianz Asset Management GmbH, Germany Allianz Asset Management of America Holdings Inc., USA Allianz Asset Management of America LLC, USA Allianz Asset Management of America L.P., USA Pacific Investment Management Company LLC, USA Pimco Global Advisors LLC, USA	Pimco Global Advisors (Ireland) Ltd., Ireland Pimco Europe Ltd, UK	14.5.2019	FIVA 1/02.05.11/2019
Allianz SE, Germany Allianz Holding eins GmbH Allianz Elementar Versicherungs-Aktiengesellschaft	Allianz Investmentbank Aktiengesellschaft, Austria Allianz Invest Kapitalanlagegesellschaft mbH, Austria	14.5.2019	FIVA 1/02.05.11/2019

28 October 2020

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Franklin Resources, Inc.	Franklin Templeton Investment Management Limited, UK Franklin Templeton Fund Management Limited, UK Franklin Templeton International Services S.À.R.L., Luxembourg Edinburgh Partners Limited, UK Edinburgh Partners AIFM Limited, UK Brandywine Global Investment Management (Europe) Limited, UK Legg Mason Investments (Europe) Limited, UK Legg Mason Investments (Ireland) Limited, Ireland Legg Mason Investment Funds Limited, UK Martin Currie Investment Management Limited, UK Martin Currie Fund Management Limited, UK Western Asset Management Company Limited, UK	30.7.2020 14.3.2019	FIVA 2/02.05.11/2019
Affiliated Managers Group, Inc AMG New York Holdings Corp. AMG Atlantic Holdings Ltd. AMG Genesis, LLC Genesis Asset Managers, LLP	Genesis Investment Management, LLP, United Kingdom	22.10.2018	FIVA 11/02.05.11/2018
Affiliated Managers Group, Inc AMG New York Holdings Corp. AMG UK Holdings Ltd. AMG Plymouth UK Holdings (1) Limited Pantheon Holdings Limited Pantheon Ventures Limited	Pantheon Ventures (UK) LLP, United Kingdom	22.10.2018	FIVA 11/02.05.11/2018
Affiliated Managers Group, Inc AMG New York Holdings Corp. AMG UK Holdings Ltd. VAM Bidco Limited	Veritas Asset Management LLP, United Kingdom	22.10.2018	FIVA 11/02.05.11/2018

28 October 2020

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Affiliated Managers Group, Inc AMG New York Holdings Corp. Arrow Acquisition LLC Artemis Investment Management LLP	Artemis Fund Managers Limited, United Kingdom	22.10.2018	FIVA 11/02.05.11/2018
Affiliated Managers Group, Inc AMG New York Holdings Corp. Arrow Acquisition LLC	Artemis Investment Management LLP, United Kingdom	22.10.2018	FIVA 11/02.05.11/2018
Deutsche Bank AG	Concept Fund Solution PLC- xtrackers (IE) PLC, Ireland CORPORATE II, Luxembourg CORPORATE III, Luxembourg CORPORATE XII, Luxembourg DB ADVISORY MULTIBRANDS, Luxembourg DB PBC, Luxembourg DB Platinum II - closed 19.10.2017, Luxembourg DB Platinum III - closed 05.02.2016, Luxembourg DB Platinum IV, Luxembourg DB Platinum, Luxembourg DB PRIVATMANDAT COMFORT, Luxembourg DB PWM, Luxembourg DEAWM FIXED MATURITY, Luxembourg Deutsche Alternative Asset Management (Global) Limited, United Kingdom Deutsche Alternative Asset Management (UK) Limited, United Kingdom Deutsche Asset Management (UK) Limited, United Kingdom Deutsche Asset Management S.A., Luxembourg Deutsche Asset Management S.G.I.I.C., S.A., Spain	28.9.2018	FIVA 10/02.05.11/2018

28 October 2020

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Deutsche Bank AG	Deutsche Bank (Suisse) SA, Switzerland Deutsche Bank Luxembourg S.A., Luxembourg Deutsche Bank Osterreich AG, Austria Deutsche Bank Societa per Azioni, Italy Deutsche Bank, Sociedad Anonima Espanola, Spain DEUTSCHE CONCEPT, Luxembourg Deutsche Global Liquidity Series PLC, Ireland DEUTSCHE INSTITUTIONAL, Luxembourg Deutsche International Corporate Services (Ireland) Limited, Ireland DEUTSCHE INVEST II, Luxembourg Deutsche Noor Islamic Funds PLC, Ireland Deutsche Oppenheim Family Office AG, Germany DWS Alternatives GmbH (renamed 30.08.2018), Germany DWS FLEXPENSION, Luxembourg DWS FUNDS, Luxembourg DWS GARANT, Luxembourg DWS Group GmbH & Co. KGaA, Germany DWS Grundbesits GmbH (renamed 30.08.2018), Germany Dws International GmbH, Germany DWS INVEST (renamed on 14.08.2018), Luxembourg Dws Investment GmbH, Germany DWS Investmentaktiengesellschaft mit Teil-gesell schaft vermogen, Germany DWS SELECT, Luxembourg Sai. Oppenheim jr. & Cie. AG & Co. Kom- manditgesellschaft auf Aktien, Germany WEPLA Beteiligungsgesellschaft mbH, Germany Whale Holdings S.a.r.l., Luxembourg XTRACKERS II, Luxembourg XTRACKERS, Luxembourg	28.9.2018	FIVA 10/02.05.11/2018
Nordea Bank Abp	Nordea Funds Ltd, Finland Nordea Investment Funds S.A., Luxembourg	6.9.2018	FIVA 8/02.05.11/2018

28 October 2020

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Parent company	Fund management company, collective investment undertaking or investment firms	Date of notification	Journal Number
Amundi	Pioneer Asset Management A.S., Czech Republic Pioneer Asset Management S.A., Luxembourg Pioneer Asset Management SAI S.A., Romania Pioneer Investment Company A S, Czech Republic Pioneer Investment Fund Management Limited, Hungary Pioneer Investment Management Limited, Ireland Pioneer Investment Management S.G.R.p.A., Italy Pioneer Investments Austria GmbH, Austria Pioneer Investments Kapitalanlagegesellschaft GmbH, Germany KBI Fund Managers Ltd, Ireland KBI Global Investor Ltd, Ireland KBI Global Investor (North America) Ltd, Ireland	23.6.2017	FIVA 7/02.05.11/2017
Amundi Asset Management	Pioneer Asset Management A.S., Czech Republic Pioneer Asset Management S.A., Luxembourg Pioneer Asset Management SAI S.A., Romania Pioneer Investment Company A S, Czech Republic Pioneer Investment Fund Management Limited, Hungary Pioneer Investment Management Limited, Ireland Pioneer Investment Management S.G.R.p.A., Italy Pioneer Investments Austria GmbH, Austria Pioneer Investments Kapitalanlagegesellschaft GmbH, Germany KBI Fund Managers Ltd, Ireland KBI Global Investor Ltd, Ireland KBI Global Investor (North America) Ltd, Ireland	23.6.2017	FIVA 7/02.05.11/2017

28 October 2020

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Crédit Agricole	Amundi Alternative Investments Ireland, Ireland Amundi Asset Management, France Amundi Austria GmbH, Austria Amundi Iberia SGIIC, SA, Spain Amundi Immobilier, France Amundi Luxembourg SA, Luxembourg Amundi Polska TFI, Poland Amundi Private Equity Funds, France Amundi Real Estate Italia SGR SPA, Italia Amundi Real Estate Luxembourg, Luxembourg Amundi Società di Gestione del Risparmio S.p.A, Italia Amundi UK Ltd, United Kingdom BFT Investment Managers, France CPR Asset Management, France Etoile Gestion, France Investicni Kapitalova Spolecnost KB, a.s, Czech Republic Pioneer Asset Management A.S., Czech Republic Pioneer Asset Management S.A., Luxembourg Pioneer Asset Management SAI S.A., Romania Pioneer Investments Austria GmbH, Austria Pioneer Investment Company A S, Czech Republic Pioneer Investment Fund Management Limited, Hungary, Pioneer Investment Management Limited, Ireland Pioneer Investment Management S.G.R.p.A., Italy Pioneer Investments Kapitalanagegesellschaft GmbH Société Générale Gestion – S2G, France Tikehau Capital Europe Ltd, United Kingdom	23.6.2017	FIVA 10/02.05.11/2017
Sampo Oyj	Mandatum Life Sijoituspalvelut Oy	27.2.2020	FIVA 1/02.05.11/2020
Mandatum Henkivakuutusosakeyhtiö	Mandatum Life Fund Management S.A.	28.10.2016	FIVA 5/02.05.11/2016

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Handelsbankens Fondbolagsförvaltning AB	Handelsbanken Fonder AB, Sweden Handelsbanken Fondbolag Ab, Finland Handelsbanken Kapitalförvaltning AS, Norway Handelsbanken Funds, Luxembourg Handelsinvest Investeringsforvaltning A/S, Denmark	24.9.2015 17.6.2013	FIVA 19/02.05.11/2015 FIVA 6/02.05.11/2013
Svenska Handelsbanken AB (publ)	Handelsbanken Fonder AB, Sweden Handelsbanken Fondbolag Ab, Finland Handelsbanken Kapitalförvaltning AS, Norway Handelsbanken Funds, Luxembourg Handelsinvest Investeringsforvaltning A/S, Denmark Heartwood Wealth Management Limited, UK	21.9.2015 17.6.2013	FIVA 20/02.05.11/2015 FIVA 6/02.05.11/2013
Swedbank AB, Sweden	Swedbank Robur AB Swedbank AS, Estonia Swedbank AS (Portfolio management), Latvia Swedbank AB (Private Wealth Management Division), Lithuania Swedbank Management Company S.A., Luxembourg	12.8.2014 13.5.2009	FIVA 13/02.05.11/2014 11/206/2009
Swedbank Robur AB	Swedbank Robur Kapitalförvaltning AB Swedbank Robur Fonder AB Swedbank investiciju valdymas, UAB, Lithuania Swedbank Ieguldījumu Parvaldes Sabiedriba AS, Latvia Swedbank Investeerimisfondid AS, Estonia Swedbank Asset Management AS, Norway Banko Fonder AB, Sweden	12.8.2014 25.5.2011 13.5.2009	FIVA 13/02.05.11/2014 FIVA 2/02.05.11/2011 11/206/2009
Swedbank Investeerimisfondid AS, Estonia	Hansa Private Equity Partners S.a.r.l., Luxembourg	12.8.2014	FIVA 13/02.05.11/2014
OP-Pohjola osk	OP-Rahastoyhtiö Oy	13.6.2011	FIVA 1/02.05.11/2011

28 October 2020

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DB NOR ASA	DB NOR Kapitalforvaltning AS, Norway DnB NOR Asset Management AB, Sweden Carlson Fund Management AB, Sweden Calson Fonder AB, Sweden	14.2.2011	1/207/2011
UniCredit S.p.A.	Zb Invest D.o.o. Za Upravljanje Investijskim Fondovima, Croatia Cordusio SIM SpA, Italy Structured Invest Societe Anonyme, Luxembourg UniCredit Bank AG, Germany UniCredit Bank Austria AG, Austria Schoellerbank Invest AG, Austria Schoellerbank Aktiengesellschaft, Austria	18.8.2020 12.10.2010	FIVA 2/02.05.11/2020 7/207/2010
Sampo Pankki Oyj	Sampo Rahastoyhtiö Oy	19.8.2009	2/207/2009
Allianz Global Investors AG, Germany	RCM (UK) Ltd, UK Allianz Global Investors Kapitalanlagegesellschaft mbH, Germany Allianz Global Investors Advisory GmbH, Germany Allianz Global Investors Luxembourg S.A., Luxembourg Allianz Global Investors Ireland Ltd, Ireland	14.9.2008	9/230/2008 7/230/2008 7/230/2008 7/230/2008 7/230/2008
Allianz Global Investors Europe Holding GmbH, Germany	RCM (UK) Ltd, UK Allianz Global Investors Kapitalanlagegesellschaft mbH, Germany Allianz Global Investors Advisory GmbH, Germany Allianz Global Investors Luxembourg S.A., Luxembourg Allianz Global Investors Ireland Ltd, Ireland	14.9.2008	9/230/2008 7/230/2008 7/230/2008 7/230/2008 7/230/2008
Allianz Global Investors Deutschland GmbH, Germany	Allianz Global Investors Kapitalanlagegesellschaft mbH, Germany Allianz Global Investors Advisory GmbH, Germany Allianz Global Investors Luxembourg S.A., Luxembourg Allianz Global Investors Ireland Ltd, Ireland	14.9.2008	7/230/2008

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Allianz Global Investors Kapitalanlagegesellschaft mbH, Germany	Allianz Global Investors Luxembourg S.A., Luxembourg Allianz Global Investors Ireland Ltd, Ireland	14.9.2008	7/230/2008
ALPHA TRUST INVESTMENT SERVICES S.A	Taylor Young Investment Management Ltd. Alpha Trust Mutual Fund Management S.A	29.11.2007	25/230/2007
WIENER STÄDTISCHE Versicherung AG	Ringturm Kapitalanlagegesellschaft m.b.H	31.10.2007	24/230/2007
Nordea Bank AB (publ)	Nordea Rahastoyhtiö Suomi Oy Nordea Fondene Norge AS Nordea Fonder AB Nordea Investment Funds I S.A. Nordea Investment Funds S.A. Nordea Investment Management AB	17.9.2007	18/230/2007