Notification by the parent company of a management company or investment firm on the application of the exemption from the obligation to notify major shareholdings (chapter 9, section 8 a of Securities Markets Act)

*Please submit this notification to the Financial Supervisory Authority to the address kirjaamo@finanssivalvonta.fi*

Notification on the application of the exemption

Notification of changes

Notification on the end of the application of the exemption

# Parent company applying the exemption under section 9:8a of the Securities Markets Act (entity with supreme control, entire chain of control to be attached if applicable)

|  |  |
| --- | --- |
| 1. Full name | Click here to enter text. |
| 1. Legal Entity Identifier | Click here to enter text. |
| 1. Address | Click here to enter text. |
| 1. Telephone (contact person) | Click here to enter text. |
| 1. Email (contact person) | Click here to enter text. |

# Contact information of the person filing the notification (if other than the parent company)

|  |  |
| --- | --- |
| 1. Full name | Click here to enter text. |
| 1. Address | Click here to enter text. |
| 1. Telephone | Click here to enter text. |
| 1. Email | Click here to enter text. |

# Content of the notification

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| The parent company notifies that it will apply the exemption referred to in chapter 9, section 8 a of the Securities Markets Act to the management companies or investment firms authorised in EEA member states listed in the annex.  The management companies listed in the annex use the voting rights attached to the shares held by the investment funds or UCITS under their management independently of the parent company. The parent company does not give direct or indirect instructions or try to influence in any way the discretion of the management companies in using the voting rights attached to the shares.  The investment firms listed in the annex use the voting rights attached to shares managed by them under asset management contracts only in accordance with the instructions given and independently of the parent company. The parent company does not give direct or indirect instructions or try to influence in any way the discretion of the investment firms in using the voting rights attached to the shares.  The parent company notifies that it no longer applies the exemption referred to in chapter 9, section 8 a of the Securities Markets Act on the management companies or investment firms listed in the annex. | |
| Description of the procedures applied to prevent the exchange of information between the parent company and the management company or  investment firm.  Click here to enter text. | |
| **Date of notification** | Click here to enter a date. |
| **Signature of person submitting the notification** |  |

# Annexes

|  |
| --- |
| List of management companies or investment firms authorised in the EEA member states, to which the exemption applies. A notification of changes must include a full list replacing any previously notified lists.  Other, please specify: Click here to enter text. |

# ANNEX: Management companies or investment firms holding shares subject to the exemption

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  | Authorisation | | Supervisory authority | |
| Full name | Legal Entity Identifier | Management Company | Investment Firm | Name of Authority | EEA Member State |
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