Privacy Statement Reports of suspected abuse

This Privacy Statement describes, in accordance with <u>the General Data Protection Regulation (EU)</u> <u>2016/679</u>, how the Financial Supervisory Authority (FIN-FSA) processes the personal data of data subjects stored in this personal data file system, and the rights of the data subjects.

1. Name of the personal data file system

Reports of suspected abuse

2. Name and contact details of controller and contact details of data protection officer

Financial Supervisory Authority Business ID 0202248-1 PO Box 103 00101 Helsinki

Contact details of the person responsible for the personal data file system:

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Contact details of the data protection officer:

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Tel: +358 9 183 31 (switch)

3. Purpose of the processing of personal data and the legal basis for the processing

Reports of suspected abuse of financial market provisions received by the FIN-FSA pursuant of section 71 a of the Act on the Financial Supervisory Authority are processed in the personal data file system.

Whistleblowers are not restricted in section 71 a of the Act on the Financial Supervisory Authority, so a report of suspected abuse may be made by anyone. A report may also be anonymous

4. Categories of data subjects and categories of personal data

Categories of data subjects:

– The contact details of the whistleblower and the contact details of the person subject to the report.

Categories of personal data:

– Data include personal data, such as name, address, email, personal identification number/business identification number.

5. Recipients or categories of recipients of the personal data

The FIN-FSA may disclose data to the Police for pre-trial investigation, pursuant to section 3 c of the Act on the Financial Supervisory Authority.

6. Notification of possible transfer of personal data to a third country or an international organisation

Personal data are not, as a rule, transferred outside the EU or the EEA. In accordance with section 71 of the Act on the Financial Supervisory Authority, data may be disclosed to a supervisory authority of another country if the suspected abuse is linked to another country.

7. Period for which the personal data will be stored or the criteria used to determine that period

Personal data are stored for 5 years from the making of the report. Data are deleted when their storage period has ended, unless their storage is necessary to safeguard the investigation of a crime, pending trials, official investigations or the rights of the whistleblower or the person subject to the report.

8. General description of technical and organisational security measures

In order to protect personal data against unauthorised access, disclosure, destruction or other unlawful processing, appropriate technical and organisational security measures are used, taking potential risks into consideration. These measures include the use of secure equipment premises as well as administrative and technical information security solutions.

9. Rights of data subjects

Data are collected in the Financial Supervisory Authority on a statutory basis. In accordance with section 71 a subsection 1 of the Act on the Financial Supervisory Authority, the personal data of the whistleblower and the person subject to the report must be kept confidential. According to section 71 a subsection 4 of the Act on the Financial Supervisory Authority, a registered person is not entitled to access the data if disclosure could hinder the investigation of suspected offenses.

In accordance with Article 17 Paragraph 3b of the Regulation, the right to erase data does not apply to the statutory processing of personal data described in this record of processing activities. The right to data portability, pursuant to Article 20, or the right to object, pursuant to Article 21, do not apply to the statutory processing of personal data described in this record of processing activities.

The data subject has the right to lodge an appeal on the processing of personal data with the supervisory authority

10. Statutory or contractual requirement to provide data and consequences of failure to provide such

In accordance with section 71 a of the Act on the Financial Supervisory Authority, the FIN-FSA must maintain a system for receiving reports of suspected abuse.

11. Source of information

Section 71 a of the Act on the Financial Supervisory Authority.