

<b>Record of processing activities</b>
<p>This Privacy Statement describes, in accordance with the <a href="#">General Data Protection Regulation (EU) 2016/679</a>, how the Bank of Finland/Financial Supervisory Authority (FIN-FSA) processes the personal data of data subjects stored in this personal data file system and the rights of the data subjects.</p>
<p>1. Name of the personal data file system</p>
<p>Insurance Intermediaries Register</p>
<p>2. Name and contact details of controller and contact details of data protection officer</p>
<p>Financial Supervisory Authority  Business ID 0202248-1  PO Box 160  00101 Helsinki</p> <p>Contact details of the person responsible for the personal data file system:  Maarit Lensu  Email: <a href="mailto:maarit.lensu(a)finanssivalvonta.fi">maarit.lensu(a)finanssivalvonta.fi</a>  Tel. +358 9 183 5558</p> <p>Contact details of the data protection officer:  Pasi Hänninen  Email: <a href="mailto:pasi.hanninen(a)bof.fi">pasi.hanninen(a)bof.fi</a>  Tel. +358 9 183 2614</p>
<p>3. Purpose of the processing of personal data and the legal basis for the processing</p>
<p>Data on individuals who, through their own application, have applied for registration as an insurance intermediary and who, on that basis, have received the right to engage in insurance mediation are entered in the personal data file system.</p> <p>Processing is necessary to comply with the statutory obligation of the controller.  Act on the Provision of Insurance 234/2018, section 13.</p>
<p>4. Categories of data subjects and categories of personal data</p>
<p>Categories of data subjects:  – Insurance intermediaries; insurance agents and insurance brokers.</p> <p>Categories of personal data:  – The registration data of insurance intermediaries includes personal data, such as the name, personal identification number or date of birth and place of residence of a natural person, the names of the managing director and his or her deputy of a legal person registered as an insurance intermediary, the names of the members and deputy members of the board of directors, the names of the members and deputy members of a body comparable to the board of directors, active partners and the names of other persons responsible for providing insurances, as well as the personal identification numbers of the said individuals, the personal identification number or date of birth and place of residence or domicile or business or entity identity code of those shareholders or members who own at least one tenth of the insurance intermediary, and information on the size of these holdings; the personal identification number or date of birth and place of residence or domicile or business or entity identity code of those natural and legal persons who have control pursuant of chapter 1 section 5 of the Accounting Act or close links to the insurance intermediary.</p>
<p>5. Recipients or categories of recipients of the personal data</p>

The FIN-FSA discloses the applicant's name and personal identification number to the Legal Register Centre in order to ascertain fitness and propriety, and this is based on section 75 of the Act on the Provision of Insurance, Right of the Financial Supervisory Authority to obtain information.

The FIN-FSA may disclose personal data in a manner specified by the requirements of the competent authorities or other parties, based on the legislation in force at the time in question.

#### 6. Notification of possible transfer of personal data to a third country or an international organisation

Personal data are not, as a rule, transferred outside the EU or the EEA.

#### 7. Period for which the personal data will be stored or the criteria used to determine that period

Personal data is stored for 20 years.

#### 8. General description of technical and organisational security measures

In order to protect personal data against unauthorised access, disclosure, destruction or other unlawful processing, appropriate technical and organisational security measures are used, taking potential risks into consideration. These measures include the use of secure equipment premises as well as administrative and technical information security solutions.

#### 9. Rights of data subjects

The data subjects in the personal data file system have the right:

- to request from the controller access to personal data concerning them and the right to request that such data be rectified or erased or for processing to be restricted.
- to lodge a complaint about the processing of personal data with a supervisory authority.

In accordance with Article 17 Paragraph 3b of the Regulation, the right to erase data does not apply to the statutory processing of personal data described in this record of processing activities. The right to data portability, pursuant to Article 20, or the right to object, pursuant to Article 21, do not apply to the statutory processing of personal data described in this record of processing activities.

#### 10. Statutory or contractual requirement to provide data and consequences of failure to provide such data

If an insurance intermediary applicant does not provide the data necessary for registration, the applicant will not be registered in the Insurance Intermediaries Register.