De-notification letter

Notification letter to be submitted by a UCITS to the competent authority of its home Member State to de-notify the arrangements made for marketing as regards units in a host Member State under Article 93a(2) Directive 2009/65/EC.

in Click or tap here to enter text.

(the host Member State(s))

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**PART I**

**Information on the management company or internally managed UCITS**

|  |  |
| --- | --- |
| Information on the management company or internally managed UCITS | |
| Management company or internally managed UCITS | Click or tap here to enter text. |
| Management company’s or internally managed UCITS’ LEI | Click or tap here to enter text. |
| National identification code of the management company or internally managed UCITS (where available) | Click or tap here to enter text. |
| Management company’s or internally managed UCITS’ home Member State | Click or tap here to enter text. |
| Address and registered office/domicile, where different from address | Click or tap here to enter text. |
|  | |
| **Contact details of the person or department responsible for the notification letter within the management company or internally managed UCITS** | |
| Name of the person or department | Click or tap here to enter text. |
| Telephone number | Click or tap here to enter text. |
| Email address | Click or tap here to enter text. |
|  | |
| **Details of the third party (if a third party is designated by the management company to make the notification)** | |
| Name of the third party | Click or tap here to enter text. |
| Address and registered office/domicile, if different from address | Click or tap here to enter text. |
| Name of the person or department to be contacted | Click or tap here to enter text. |
| Telephone number | Click or tap here to enter text. |
| Email address | Click or tap here to enter text. |
|  | |
| **Contact point for the transmission of the invoice or for the communication of any applicable regulatory fee or charges (if applicable)[[1]](#footnote-1)** | |
| Name of the entity | Click or tap here to enter text. |
| Name of the person or department to be contacted | Click or tap here to enter text. |
| Address and registered office/domicile, if different from address | Click or tap here to enter text. |
| Telephone number | Click or tap here to enter text. |
| Email address | Click or tap here to enter text. |
|  | |
| Please specify which email address provided in this section (contact point at the management company or internally managed UCITS, contact point within the appointed third party or contact point or contact point for the transmission of the invoice) is the preferred address to which the host NCA can transmit any confidential information (inter alia, login and password to access the national systems for reporting) | Click or tap here to enter text. |

**PART 2**

**Information on the de-notified UCITS**

*Please fill the below table with information for each UCITS for which de-notification of arrangements made for marketing units or shares in the host Member State is envisaged, creating a new table for each UCITS and by indicating only one share class (name and ISIN) on each line. In the case of umbrella UCITS, references to UCITS in the table below shall be understood as referring to the compartment to be de-notified in the host Member State and not the umbrella UCITS.*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Name of the UCITS to be de-notified in the  host Member State | UCITS home Member State | Legal form of the UCITS [[2]](#footnote-2) | LEI of the UCITS | Name of share class(es) to be de-notified in the host Member State | ISIN of share class(es) to be de-notified in the host Member State | National identification code of the UCITS | Effective date on which the denotification takes effect |
| Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. |
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**PART 3**

**Blanket offer for the repurchase or redemption of units held by investors in the host Member State**

Does the de-notified UCITS have investors in the host Member State?

Yes  No  Information not available

*If the answer to this question is either “Yes” or “Information not available”, this Part has to be filled. If the answer to this question is No, this Part does not have to be filled out.*

**Section 1. Identification of the entity making the blanket offer**

Please provide the following information in relation to the blanket offer made to repurchase or redeem, free of any charges or deductions, all units or shares held by investors in the host Member State.

Is the blanket offer addressed to investors by the management company of the UCITS or the internally managed UCITS?

Yes  No

*If the blanket offer is addressed to investors through a financial intermediary, please specify the following information.*

The blanket offer will be addressed to investors by:

A credit institution

An authorised investment firm or adviser

Another entity, including an entity located in a third country. Please specify:

Click or tap here to enter text.

**Section 2. Characteristics of the blanket offer**

|  |  |
| --- | --- |
| Please indicate the dates, which represent a period of at least 30 working days, during which the blanket offer for the repurchase or redemption of the UCITS will be available | Click or tap here to enter text. |
|  | |
| Please provide details on the means to be used to reach individually all investors holding units in the UCITS (e.g., through emails, phone calls, letter, etc.) | Click or tap here to enter text. |

**PART 4**

**Intention to terminate arrangements made for marketing the units or shares of UCITS**

Please provide the following information for each UCITS, compartment or share class for which the de-notification of arrangements made for marketing is envisaged.

|  |  |
| --- | --- |
| Please provide details on how the intention to terminate arrangements made for marketing units of UCITS in the host Member States will be published, including any website, press article or advertisement published, including any website, press article or advertisement | Click or tap here to enter text. |

Click or tap here to enter text. (*name of the signatory*) hereby confirms that the information above is correct, that the price offered to investor for the redemption or repurchase of their units or shares is free of any charge or deduction, and that the arrangements made with financial intermediaries or delegates are amended or terminated as of the date of de-notification in order to prevent any new or further marketing in Click or tap here to enter text. (*host Member State*).

|  |  |
| --- | --- |
| Date | Click or tap here to enter text. |
| Name and capacity of the signatory | Click or tap here to enter text. |
| Signature |  |

1. Please indicate a single contact point for the transmission of the invoice or for the communication of any applicable regulatory fee or charge referred to in Article 9 of Regulation (EU) 2019/1156 and in Article 2 of the Commission Implementing Regulation (EU) 2021/955. This contact point may be the same as the contact point designated within the management company, or a contact point within an appointed third party. [↑](#footnote-ref-1)
2. The legal form is one of the following: common fund, unit trust, investment company, or any other legal form available under the national legislation of the UCITS home Member State. [↑](#footnote-ref-2)